

Corporate Risk Register

Strategic Director:	Ian Leivesley	Completion Date:	November 2011
Risk Management Coordinator:	Tony Dean	Review Date:	November 2012

Assessment of current risk(s)				
Item	Identified risk	Impact (Severity)	Likelihood (Probability)	Score (I x L)
1	<p>Budget Reductions Failure to effectively align resources to corporate objectives and strategic requirements leads to a lack of focus on priorities resulting in failure to deliver objectives and the possibility of varying degrees of challenge Council Priorities: Corporate effectiveness and business efficiency</p>	4	4	16
2	<p>Partnerships Ineffective and poorly controlled partnerships with statutory and non statutory organisations will lead to a lack of accountability and ineffective use of resources resulting in a failure to meet the needs of and improve outcomes for local communities Council Priorities: A Healthy Halton Employment learning and skills in Halton Children and young people in Halton A Safer Halton</p>	3	4	12
3	<p>Funding and Income Generation Failure to maximise and identify funding opportunities in light of government cuts resulting in a potential challenge of the Councils capacity to delivery its priorities Council Priorities: A Healthy Halton Employment learning and skills in Halton Children and young people in Halton A Safer Halton</p>	3	4	12

4	<p>Mersey Gateway Lack of effective project management leads to uncontrolled costs, delays and lack of credibility resulting in cancellation/delay of the project. Potential abortive development cost Council Priorities: Halton's Urban Renewal</p>	4	3	12
5	<p>Safeguarding Children and Adults Inability to support and protect children and adults to ensure that they are healthy, safe and have the opportunity to reach their potential. Council Priorities: A Healthy Halton Employment learning and skills in Halton Children and young people in Halton A Safer Halton</p>	4	3	12
6	<p>Capacity and Resilience Inability of the Council to sustain the delivery of services in line with Council Priorities as a result of the impact of budget cuts Council Priorities: Corporate effectiveness and business efficiency</p>	3	4	12
7	<p>Architectural Landscape Changes to the Architectural Landscape of other public sector organisations that could potentially lead to the deterioration of services, in particular for the most vulnerable groups Council Priorities: A Healthy Halton Employment learning and skills in Halton Children and young people in Halton A Safer Halton Corporate effectiveness and business efficiency</p>	3	4	12
8	<p>Fraud Inadequate control systems lead to an increase in fraud and financial loss Council Priorities: Corporate effectiveness and business efficiency</p>	3	3	9

Assessment of residual risk(s)

Item	Risk control measure(s)	RRS ¹ (I x L)	Timescale / review frequency	Lead Officer/s	Progress Commentary	Date
1	<p>Budget Reductions</p> <ul style="list-style-type: none"> • Link the budget process to Service Planning • Service Planning and maintain a robust overview of statutory obligations and prioritise accordingly • Review of Corporate Priorities/Community Plan • Communication of Priorities to Staff/Members/Managers to achieve buy-in • Medium Term Financial Strategy • Budget Risk Register • Smarter procurement to generate savings • Efficiency Programme service delivery • Explore the potential for collaboration with neighbouring Local Authorities • Internal - Equality Impact Assessment process established 	2x3=6	6 monthly	Ian Leivesley		
2	<p>Partnerships</p> <ul style="list-style-type: none"> • Strategic Policy Board (HSPB) facilitates interagency collaboration and cooperation to maximise available resources in the pursuit of agreed strategic goals • Halton Safeguarding Boards fully operational (see 5 below) • Establish a performance framework through which progress in addressing key strategic outcomes can regularly and routinely monitored and provides flexibility for other issues to be considered • Service efficiency by sharing resources 	2x2 = 4	6 months	David Parr		

¹ RRS – Residual Risk Score after control measures implemented

3	<p>Funding and Income Generation</p> <ul style="list-style-type: none"> • Develop and seek alternative untapped funding opportunities • Horizon scanning for external funding sources and signpost as appropriate • Work in partnership with 3rd sector to share funding streams • Current funding programmes - managers to ensure that they are aware of when funding comes to an end and, where necessary, explore other opportunities for new funding streams • Become commercially focussed to protect current funds and effectively use them • Establish trading and income generation possibilities 	2x2 = 4	6 months	Strategic Directors		
4	<p>Mersey Gateway</p> <ul style="list-style-type: none"> • Project Structure based on PRINCE2 control procedure under the governance of the Procurement Group involving key members, officers, and professional advisors • Project management arrangements have satisfied HM Treasury scrutiny • Gateway 2 project review undertaken and action plan dealing with recommendations agreed with Mersey Gateway Officer Project Board • Project Plan and regular monitoring of plan and periodic independent gateway reviews • Delivery within the Funding framework agreed with Government reviewed at regular intervals Mersey Gateway Risk Register 	4x2=8	6 monthly	David Parr		
5	<p>Safeguarding Children and Adults</p> <ul style="list-style-type: none"> • Halton's Children's and Adult's Safeguarding Boards are fully operational, they operate with statutory guidance and its resources provided as agreed 	4x2=8	6 monthly	Dwayne Johnson/Gerald Meehan		

6	Capacity and Resilience <ul style="list-style-type: none"> • Service reviews around more efficient ways of working including the greater use of technology • Focus the delivery of services on priorities and legal responsibilities through effective Service Planning • Delivery of training courses in Stress Management and Managing Resilience to Change • Risk assessing, monitoring and support mechanisms for work related stress • Agile Working policy • Business Continuity Planning 	2x2 = 4	6 months	Strategic Directors		
7	Architectural Landscape <ul style="list-style-type: none"> • Maintain an overview of external influences involving political, economic, social, technological, legislative and environmental factors • Review services in line with Council Priorities, whilst protecting the most vulnerable • Protect interests by being part of the processes leading to the delivery of new arrangements 	3x2 = 6	6 months	Strategic Directors		
8	Fraud <ul style="list-style-type: none"> • Rigorous pre-employment checks of new employees • Dedicated Housing Benefit / Council Tax Benefit anti-fraud section • A continuous internal audit of the Council's systems and services • Participation in the National Fraud Initiative • Whistleblowing arrangements • Development of HBMS and HBMS scans allow better matching and checking with other national databases • Annual reporting of counter fraud measures and activity to the Business Efficiency Board 	3x2=6	6 monthly	Ian Leivesley		

Version control Record

Version	Date Created	Date of Amendment:	Nature of Amendment	Date of Next Review:
1.0	13.10.11			